

BUSINESS INFORMATION			
13. Years In Business under this legal entity:		Years of experience in Security field:	
Please describe experience of principals/owners:			
	Owner Names	Ownership %	Does Owner Instruct?
Please list owners:	Owner 1:	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Owner 2:	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Owner 3:	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Owner 4:	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Owner 5:	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
Please describe duties of the Owner(s):			
Is Applicant involved in any other operations?			<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please describe:			
Any other states of operations?			
Is the Company a division of a larger corporation or a subsidiary?			<input type="checkbox"/> Yes <input type="checkbox"/> No
Has any carrier non-renewed or cancelled Applicant's business? (not applicable in MO)			<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please describe:			
Has the Applicant had any lapse in coverage?			<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please describe:			
Date of lapse:			
Is Applicant aware of any incidents, complaints, or suspected matters in the past 5 years which may result in claims against you?			<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please describe:			

PERSONNEL SELECTION AND TRAINING	
14. Pre-employment Screening Procedure (check all applicable):	
<input type="checkbox"/> Prior Employment Check <input type="checkbox"/> Personal Reference <input type="checkbox"/> Psychological Testing <input type="checkbox"/> Criminal Background Check <input type="checkbox"/> Drug Screening <input type="checkbox"/> MVR <input type="checkbox"/> Physical Exam <input type="checkbox"/> Other: _____	
When Background Checks are received, explain what offenses you deem acceptable and not acceptable for hire:	
15. Training Program Includes (check all applicable):	
<input type="checkbox"/> Written Manual <input type="checkbox"/> Report Writing <input type="checkbox"/> CPR <input type="checkbox"/> On the Job <input type="checkbox"/> 8 hours or more required <input type="checkbox"/> Firearms <input type="checkbox"/> Use of Force <input type="checkbox"/> Powers of Arrest <input type="checkbox"/> Classroom	

16. Policies and Procedures (check all applicable):

- | | |
|--|--|
| <input type="checkbox"/> Designated safety coordinator | <input type="checkbox"/> Regularly scheduled safety meetings |
| <input type="checkbox"/> Personal protective equipment provided to employees | <input type="checkbox"/> Formal accident and investigation program |
| <input type="checkbox"/> Prompt reporting of all employee injuries | <input type="checkbox"/> Transitional plan for injured workers |
| <input type="checkbox"/> Physicals required at the time of hiring | <input type="checkbox"/> Written drug and alcohol policy |
| <input type="checkbox"/> Random drug testing | <input type="checkbox"/> Company sponsored health plans offered |
| <input type="checkbox"/> Group transportation of employees | <input type="checkbox"/> Formal training program |

GENERAL LIABILITY (w/ ERRORS & OMISSIONS)

17. Coverage Limits Requested: Occurrence: \$ _____ Aggregate: \$ _____

18. Deductible Requested (Incl. ALAE): \$ _____

19. Please list the Applicant's General / Errors & Omissions Liability Insurance Coverage carried during the past five (5) years, including any periods without coverage. check here if Applicant has no prior coverage.

Name of Insurer	Policy Period	Limits of Liability	Deductible	Premium
		\$ ___ M Occ./\$ ___ M Agg	\$	\$
		\$ ___ M Occ./\$ ___ M Agg	\$	\$
		\$ ___ M Occ./\$ ___ M Agg	\$	\$
		\$ ___ M Occ./\$ ___ M Agg	\$	\$
		\$ ___ M Occ./\$ ___ M Agg	\$	\$

20. Additional coverages requested:

- | | | |
|--|--|----------------------------------|
| <input type="checkbox"/> Additional Insured: | <input type="checkbox"/> Specific: _____ | <input type="checkbox"/> Blanket |
| <input type="checkbox"/> Primary & Non-Contributory: | <input type="checkbox"/> Specific: _____ | <input type="checkbox"/> Blanket |
| <input type="checkbox"/> Waiver of Subrogation: | <input type="checkbox"/> Specific: _____ | <input type="checkbox"/> Blanket |

EXCESS LIABILITY

21. LIMITS REQUESTED: \$1,000,000 \$2,000,000 \$3,000,000 \$4,000,000 \$5,000,000

22. Please list the Applicant's Excess Liability Insurance Coverage carried during the past five (5) years, including any periods without coverage. check here if Applicant has no prior coverage.

Name of Insurer	Policy Period	Limits of Liability	Retained Limit	Premium
		\$ ___ M Occ./\$ ___ M Agg	\$	\$
		\$ ___ M Occ./\$ ___ M Agg	\$	\$
		\$ ___ M Occ./\$ ___ M Agg	\$	\$
		\$ ___ M Occ./\$ ___ M Agg	\$	\$
		\$ ___ M Occ./\$ ___ M Agg	\$	\$

Underlying Insurance Requirements	
23. COVERAGE REQUESTED: (In addition to GL/E&O)	<input type="checkbox"/> Auto Liability <input type="checkbox"/> Employer's Liability <input type="checkbox"/> Neither – GL only NOTE: If applicant is requesting excess to attach over Auto and Employer's Liability coverages, please provide a copy of the full underlying policy for each coverage, along with 5 years of loss runs for each coverage. For Auto, please provide a copy of the Commercial Auto supp app. Additional underwriting information may be required.
DESCRIPTION OF TRAINING OPERATIONS	
24. Number of Instructors <u>excluding</u> Owners noted on pg. 2:	Full Time: Part Time:
25. Estimated Annual Payroll for Non-Owners :	\$
26. Estimated Annual Revenue from Training Operations:	\$
27. Projected Number of Students annually:	
28. What are the students training for / who is hiring the training school to provide training to the students? (e.g. Security Guard firms or individuals, Law Enforcement, Correction Officers, Military, Educational Institutes etc.)	
29. Are training programs 100% classroom based?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If no, please explain nature of additional operations:	
30. Do training programs include physical training?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please explain nature of physical training:	
31. Do training programs include firearms training?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please explain nature of firearms training:	

If yes, is the gun range located on your property?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
If no, please provide details of external range:		
32. Will each student be required to sign a liability waiver?		<input type="checkbox"/> Yes <input type="checkbox"/> No
33. Please list any professional associations which owners are a member of:		
33. Do you hold any classes for students who are not part of the Security industry? (i.e. EMT, Fire Department, Self-Defense or similar for the general public)		<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, describe:		
SUBCONTRACTORS USED IN SECURITY TRAINING SCHOOL OPERATIONS		
34. Does the Applicant use Insured 3rd Party Subcontractors?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Total Projected Insured 3 rd party Subcontractor Costs:		\$
Does Applicant use a written contract with indemnification language for all of your subcontractors? (if yes, please attach a copy)		<input type="checkbox"/> Yes <input type="checkbox"/> No
Does Applicant obtain Certificates of Insurance from all of your subcontractors?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Are you always added as an additional insured by your subcontractors?		<input type="checkbox"/> Yes <input type="checkbox"/> No
If no, give a percentage for the Subcontractor Agreements where you are listed as A.I.:		%
Indicate contractually required minimum liability per occurrence limit of insurance:	<input type="checkbox"/> Less than \$1,000,000	<input type="checkbox"/> \$1,000,000 or more
35. Does the Applicant use Uninsured Subcontractors (1099 Employees)?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Total Projected Sub. Costs / Payroll of Uninsured Subcontractors (1099 EEs) – as <u>included</u> with employee payroll in question 25 on prior page:		\$

INITIAL SUBMISSION REQUIREMENTS	
General Liability (w/ Errors and Omissions)	
<ul style="list-style-type: none"> • Sample copy of waiver utilized with students • Carrier loss runs for the preceding 5 years (must be currently valued within past 60 days) • Detailed description of all claims with more than \$25K incurred • Owner(s) resume or background information if Applicant has been in business for less than 3 years 	

FRAUD NOTICE

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES. (Not applicable in AL, AR, CO, DC, FL, KS, KY, LA, MD, ME, NJ, NM, NY, OH, OK, OR, RI, TN, VA, VT, WA or WV – see Additional Fraud Notices for these States below).

ADDITIONAL FRAUD NOTICES

NOTICE TO ALABAMA, ARKANSAS, LOUISIANA, NEW MEXICO, RHODE ISLAND AND WEST VIRGINIA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO COLORADO APPLICANTS: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO FLORIDA APPLICANTS: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

NOTICE TO KANSAS APPLICANTS: Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral, or telephonic communication or statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act.

NOTICE TO KENTUCKY APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

NOTICE TO MAINE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or denial of insurance benefits.

NOTICE TO MARYLAND APPLICANTS: Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO NEW JERSEY APPLICANTS: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

NOTICE TO NEW YORK APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

NOTICE TO OHIO APPLICANTS: Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits

an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

NOTICE TO OREGON APPLICANTS: Any person who knowingly and with intent to defraud or solicit another to defraud the insurer by submitting an application containing a false statement as to any material fact may be violating state law.

NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS: It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

NOTICE TO VERMONT APPLICANTS: Any person who knowingly presents a false statement in an application for insurance may be guilty of a criminal offense and subject to penalties under state law.

The undersigned declares that to the best of his or her knowledge and belief the statements and representations made herein and in any attachments appended hereto and/or incorporated herein by reference are true and complete and that no material facts have been misstated, misrepresented, suppressed or concealed. The signing of this application does not bind the undersigned to purchase insurance, nor does review of the application bind any insurer to issue a policy. It is agreed, however, that this application shall be the basis of the contract should a policy be issued. If there is any material change in the answers to the questions provided herein or in any of the attachments appended hereto and/or incorporated herein by reference prior to the effective date of the insurance policy, the applicant must immediately notify the insurer in writing and the insurer reserves the right in such instance to modify or withdraw any quotation or binder that may have been issued. The undersigned also represents that he or she is authorized on behalf of the applicant to complete and sign this application on its behalf.

Applicant Name (Printed)

Applicant Title

Applicant Signature*

Date

* **ELECTRONIC SIGNATURE AND ACCEPTANCE**

PRODUCER INFORMATION:

Producer Name (Printed)

Producer Signature*

* **ELECTRONIC SIGNATURE AND ACCEPTANCE**

You can apply your signature to this form electronically by checking the Electronic Signature And Acceptance box below your signature line and by then either applying your electronic signature to this form or by typing your name above your signature line on this form. By doing so, you hereby consent and agree that your use of a key pad, mouse, keyboard or other device to accomplish the foregoing constitutes your signature, acceptance, and agreement as if actually signed by you in writing and has the same force and effect as a signature affixed by hand. Further, you agree that the lack of a certification authority or other third party verification will not in any way affect the validity or enforceability of your signature or any resulting contract.